



Robert Cook  
President

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## President's Message

Where is the IPO market going this year? We've heard two fundamentally different answers to that question. The obvious answer is that it will lie dormant until the markets turn around and people become more willing to invest in new companies.

The other answer we've heard is that the IPO may be the best source of new capital out there in 2009. With bank credit tight, non-bank credit tighter, and venture capitalists licking their wounds or supporting past investments with further contributions of capital, all of these traditional sources of investment capital for growing companies are shrinking.

What the IPO can do is tap into a much broader range of investors. If they are to be successful they will have to be carefully priced and marketed widely. It's normal to see the average size of an IPO fall in lean times and companies must be very careful not to over reach when seeking to raise new capital.

We had 12 IPO's on CNSX last year. This represented 15 % of the total done in Can-

ada, not counting blind pool investment companies. We have just as many lined up for 2009 already but the closure rate is softer. With the markets set to turn around before the rest of the economy we could see a lot of successful financings by mid year. IPO's on CNSX are less expensive because of our low fee structure and straightforward requirements. That can result in less spent in legal fees as well. As a result we have seen companies go public and raise as little as \$500,000. Our current pipeline of listing applicants that are IPO's have offerings that range from \$500,000 to \$5 million

Another opinion was offered to us about expectations for the mining exploration sector. The difference from previous cycles is that there are better quality projects with serious mining people at the helms, so far fewer mining exploration companies will consider themselves useful shells and convert into tech companies. One wag has named these companies TURTLES: They are not shells because there is still life inside, but they really have withdrawn to the safety of survival through inactivity.

## CNSX Listings

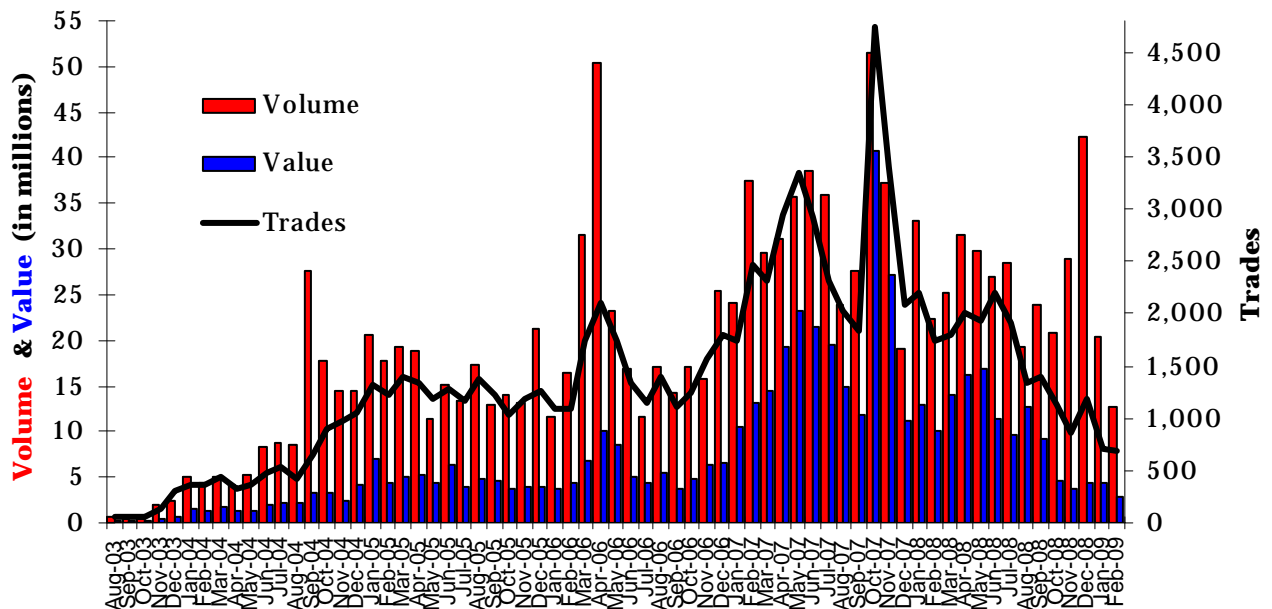
### Recent Developments

1. Quotes on CNSX listed stocks now available at [Globeinvestor.com](http://Globeinvestor.com). Globeinvestor has updated its website to include CNSX so investors can now utilize their full suite of services including charting and other analytics. The exchange code for CNSX is "CN". And new features have been added to [www.cnsx.com](http://www.cnsx.com) that are powered by [Globeinvestor.com](http://Globeinvestor.com).

## Total Market Activity February 2009

Total Volume Traded.....	12,615,593	Avg Volume per Trade.....	18,363
Value Traded.....	\$2,924,989	Avg Value per Trade.....	\$4,258
Total Trades.....	687	Avg Price per Share.....	\$0.23

### CNSX Trading Activity Through February 2009



Visit:  
[www.cnsx.ca](http://www.cnsx.ca)

## Market Data

Data Vendors authorised to distribute real-time market data for CNSX appear below. For more information on Market Data please visit the CNSX service directory page at [www.cnsx.ca](http://www.cnsx.ca). Delayed quotes and market activity summaries are also provided on [www.cnsx.ca](http://www.cnsx.ca)

#### CNQ Data Vendor

Alpha Trade  
Bloomberg  
Belzberg  
Canjex Publishing (Stockwatch)  
IRESS Canada  
QuoteMedia, Inc.  
Reuters – U.S.  
Reuters – Canada  
Telekurs  
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[www.stockwatch.com](http://www.stockwatch.com)  
[www.iress.ca](http://www.iress.ca)  
[www.quotemedia.com](http://www.quotemedia.com)  
[www.reuters.com](http://www.reuters.com)  
[www.reuters.com](http://www.reuters.com)  
[www.tkusa.com](http://www.tkusa.com)  
[www.thomson.com](http://www.thomson.com)



# Trading Activity

Stock	Symbol	Volume	Value	Trades	High	Low	Close
Aerocast Inc.	A	16,000	940	3	0.065	0.04	0.05
Airesurf Ntwrks Holdings Inc.	ANH	402,000	20,100	2	0.05	0.05	0.05
Alliance Mining Corp.	ALM	120,000	6,620	11	0.09	0.03	0.09
American Insulock Inc.	INS.U	31,000	635	2	0.035	0.005	0.035
Arctic Hunter Uranium Inc.	AHU	6,000	240	1	0.04	0.04	0.04
Arris Resources Inc.	AAS.U	1,500	195	1	0.13	0.13	0.13
Ateba Resources Inc.	ATR	28,644	1,496	6	0.08	0.05	0.07
Biosign Technologies Inc.	BIO	113,500	14,915	15	0.17	0.07	0.15
Bison Gold Exploration Inc.	BGE	25,000	375	1	0.015	0.015	0.015
Cadillac Ventures Inc.	CDC	14,600	5,309	7	0.45	0.2	0.36
Canadian Intl. Minerals Inc.	CIN	125,000	10,600	9	0.16	0.04	0.075
Cayenne Gold Mines Ltd.	CYN	50,000	1,900	4	0.04	0.02	0.02
Chava Resources Ltd.	CHA	2,000	100	1	0.05	0.05	0.05
Colt Resources Inc.	GTP	14,000	1,920	2	0.14	0.1	0.1
Continent Resources Inc.	CTT	16,390	1,409	4	0.12	0.08	0.08
Copper Reef Mining Corp.	CZC	27,000	1,700	4	0.09	0.05	0.05
Cougar Minerals Corp.	COU	85,000	2,800	5	0.035	0.03	0.03
Covenant Resources Ltd	CVA	66,000	1,980	1	0.03	0.03	0.03
Cuervo Resources Inc.	FE	274,500	67,600	11	0.31	0.2	0.2
Dynamic Resources Corp.	DYR	672,700	33,551	29	0.06	0.045	0.05
Excalibur Resources Ltd.	XBR	30,000	400	2	0.03	0.005	0.03
First Choice Products Inc.	FCI	222,000	56,715	37	0.3	0.12	0.2
Fort Chimo Minerals Inc.	FCM	676,000	32,250	9	0.05	0.04	0.045
Fulcrum Resources Inc.	FLR	135,085	5,430	16	0.07	0.03	0.03
Gamecorp Ltd.	GGG	20,350	1,603	3	0.08	0.08	0.08
Glenbriar Technologies Inc.	GTI	81,346	6,440	5	0.11	0.05	0.06
Gold Reef International, Inc	GRQ	2,000	10	1	0.005	0.005	0.005
Golden Cross Resources Inc.	GOX	20,000	850	2	0.045	0.04	0.045
Great Bear Uranium Corp.	GBR	143,533	2,094	7	0.015	0.01	0.01
HiHo Silver Resources Inc.	HHS	25,010	2,003	2	0.08	0.08	0.08
Hy Lake Gold Inc.	HYL	184,000	10,770	19	0.14	0.05	0.14
Magna Resources Ltd.	MNA	4,000	400	1	0.1	0.1	0.1
Mantis Minerals Corp.	MYN	225,500	12,492	27	0.08	0.045	0.045
MB Bldr Bonds 3 yr AF 3.5%	BJA.DB.A	272,000	272,000	2			
MB Bldr Bonds IX - 5yr AF	BIA.DB.B	15,500	15,538	1	100.25	100.25	100.25
MB Bldr Bonds IX - 5yr CF	BIC.DB	3,100	2,790	2	90	90	90
MB Bldr Bonds VIII - 5yr AF	BHA.DB	140,700	141,067	7	100.3	100	100.29
MB Hydro Bonds 10 - 3yr AF	HJA.DB.A	129,200	130,653	7	101.5	100.5	101.36
MB Hydro Bonds 9 - 3yr AF 4	HIA.DB.A	42,000	42,105	1	100.25	100.25	100.25
MB Bldr X Jun 15/13 AF 2.4%	BJF.DB	56,000	55,940	2	99.7	99.7	99.7
Micromem Technologies Inc.	MRM	82,870	77,335	23	1.1	0.65	0.85
Nexia Biotechnologies Ltd.	NBL	166,000	1,190	7	0.025	0.005	0.005
ONA Energy Inc.	OEE	2,500	475	2	0.23	0.18	0.18
Orca Power Corp.	OP	630,460	21,904	8	0.045	0.03	0.03
Otterburn Ventures Inc.	OTB	60,000	3,000	3	0.05	0.05	0.05

To become a CNSX listed company, contact:  
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## Trading Activity Cont'd

Stock	Symbol	Volume	Value	Trades	High	Low	Close
Petrol One Corp.	POV	1,693,212	312,486	47	0.255	0.12	0.12
PetroWorth Resources Inc.	PTW	379,800	73,867	39	0.26	0.15	0.18
Plaintree Systems Inc.	NPT	7,095	757	17	0.15	0.1	0.15
Preo Software Inc.	PKM	2,500	145	2	0.07	0.07	0.07
Quinsam Capital Corporation	QCA	50,000	750	1	0.015	0.015	0.015
Range Gold Corp.	RGU	1,072,500	292,425	24	0.4	0.07	0.15
Range Metals Inc.	RGO	90,000	15,180	11	0.22	0.15	0.21
RT Minerals Corp.	RTM	52,000	2,910	6	0.09	0.035	0.08
RX Exploration	RXE	701,825	179,558	66	0.35	0.2	0.25
Stealth Energy Inc	SLH	874,000	74,425	13	0.095	0.075	0.095
Thunderbird Resorts Inc.	TBI.U	146,673	224,873	24	1.86	1.36	1.39
Trevali Resources Corp.	TV	586,500	424,670	81	0.99	0.61	0.61
Triangle Multi-Services Corp.	TMS	3,000	15	1	0.005	0.005	0.005
Triple Dragon Resources Inc.	TDN	118,000	38,952	18	0.37	0.255	0.255
TrustMark Auto Group Inc.	TAF	3,500	670	4	0.2	0.18	0.2
Valterra Resource Corp.	VTA	551,000	49,570	3	0.09	0.07	0.07
Veraz Petroleum Ltd.	VRZ	577,000	102,020	10	0.215	0.15	0.215
Zab Resources Inc	ZAB	247,000	7,480	5	0.05	0.01	0.02

For information on each company listed on CNSX please visit the Listings Disclosure Hall, which can be found from our home page at [www.cnsx.ca](http://www.cnsx.ca)

## Most Active by Volume

Stock	Symbol	Volume	Value	Trades	High	Low	Close
Petrol One Corp.	POV	1,693,212	312,486	47	0.255	0.12	0.12
Range Gold Corp.	RGU	1,072,500	292,425	24	0.4	0.07	0.15
Stealth Energy Inc	SLH	874,000	74,425	13	0.095	0.075	0.095
RX Exploration	RXE	701,825	179,558	66	0.35	0.2	0.25
Fort Chimo Minerals Inc.	FCM	676,000	32,250	9	0.05	0.04	0.045
Dynamic Resources Corp.	DYR	672,700	33,551	29	0.06	0.045	0.05
Orca Power Corp.	OP	630,460	21,904	8	0.045	0.03	0.03
Trevali Resources Corp.	TV	586,500	424,670	81	0.99	0.61	0.61
Veraz Petroleum Ltd.	VRZ	577,000	102,020	10	0.215	0.15	0.215
Valterra Resource Corp.	VTA	551,000	49,570	3	0.09	0.07	0.07

## Most Active by Value

Stock	Symbol	Volume	Value	Trades	High	Low	Close
Trevali Resources Corp.	TV	586,500	424,670	81	0.99	0.61	0.61
Petrol One Corp.	POV	1,693,212	312,486	47	0.255	0.12	0.12
Range Gold Corp.	RGU	1,072,500	292,425	24	0.4	0.07	0.15
MB Bldr Bonds 3 yr AF 3.5%	BJA.DB.A	272,000	272,000	2			
Thunderbird Resorts Inc.	TBI.U	146,673	224,873	24	1.86	1.36	1.39
RX Exploration	RXE	701,825	179,558	66	0.35	0.2	0.25
MB Bldr Bonds VIII - 5yr AF	BHA.DB	140,700	141,067	7	100.3	100	100.3
MB Hydro Bonds 10 - 3yr AF	HJA.DB.A	129,200	130,653	7	101.5	100.5	101.4
Veraz Petroleum Ltd.	VRZ	577,000	102,020	10	0.215	0.15	0.215
Micromem Technologies Inc.	MRM	82,870	77,335	23	1.1	0.65	0.85

## Financings Completed

Company	Symbol	Amount Raised	Date
RX Exploration Inc.	RXE	\$38,000	Feb. 4/09
Micromem Technologies Inc.	MRM	USD \$623,077	Feb. 11/09
AireSurf Networks Holdings Inc.	ANH	\$50,000	Feb. 17/09
Cadillac Ventures Inc.	CDC	\$2,300,000	Feb. 20/09
Aerocast Inc.	A	\$100,500	Feb. 27/09
Hi Ho Silver Resources Inc.	HHS	\$100,000	Jan.12/09
Colt Resources Inc.	GTP	\$45,000	Jan. 12/09
RX Exploration Inc.	RXE	\$15,000	Jan. 16/09
Hi Ho Silver Resources Inc.	HHS	\$50,000	Jan. 19/09
AireSurf Networks Holdings Inc.	ANH	\$15,000	Jan. 28/09
Preo Software Inc.	PKM	\$500,000	Jan. 28/09
AireSurf Networks Holdings Inc.	ANH	\$81,500	Dec. 18/08
Biosign Technologies Inc.	BIO	\$405,000	Dec. 18/08
Cadillac Ventures Inc.	CDC	\$155,000	Dec. 31/08
Triple Dragon Resources Inc.	TDN	\$150,000	Dec. 31/08
Gamecorp Ltd.	GCG	\$1,000,000	Nov. 10/08
Chava Resources Ltd. (IPO)	CHA	\$200,000	Nov. 20/08
Biosign Technologies Inc.	BIO	\$800,449	Nov. 21/08

## CNSX Listings Cont'd

2. CNSX Market Inc. became a correspondent of the World Federation of Exchanges (WFE). Joining the WFE is in keeping with CNSX's overall strategy of enhancing our profile both in Canada and abroad. WFE is a central reference point for exchanges around the world, offering guidance on business strategies and on the improvement and harmonization of management practices in the securities industry as well as being considered by some governments and national associations of asset managers as a criterion for preferential investment policy.

3. CNSX Switch Campaign update: As announced in early January, CNSX has responded to the current market turmoil by offering a significantly reduced fee of \$2,000 plus GST to Issuers who switch their listing from another Canadian exchange to CNSX. The flexibility to manage a company's treasury is vital particularly in uncertain times when traditional sources of capital may all but dry up. As a result, the CNSX regulation and fee model has even greater benefit during periods where the financing window is effectively closed. Readers of our monthly newsletter will know that CNSX charges a one-time listing fee and a monthly maintenance fee of \$300 plus GST. There are no other fees. By drastically reducing our listing fee for those companies who apply on or before March 31, 2009, CNSX has eliminated the cost barrier to switching. To date, CNSX has received many switch applications and expressions of interests because of the campaign.

# Law Matters

## A Legal Checkup on Your Business

*By Robert Spiegel*

In the days of old before anyone heard of a structured investment vehicle or Citibank trading below \$1, going public and getting listed was likened to printing money, which in common parlance meant getting rich. In reality, the success rate of public companies is not unlike the success rate of restaurants. A lot start out with great ideas but too often they fall short of their goal. So if going public is like printing money, what it really means is that a public company can use its shares as a type of currency, to pay for goods, services and exchange for cash.

In order to use your stock as currency, the government has created a rule book four inches thick that sets the ground rules for playing in the public markets. In Law Matters, we will provide you with some high level outlines of what legal matters arise in dealing with capital market participants, including investors, brokers, lawyers, accountants, securities commissions, and stock exchanges, to name a few.

In this first installment of Law Matters, some of what we write about may appear second nature to you, perhaps even obvious, but in the rapid-moving pace of business, it is remarkable how easily the obvious gets overlooked. The first step is to canvass your business's legal status and the checklist of items you will be asked to provide to demonstrate that from a legal perspective your company as a going concern can be funded. This first overview is limited to your business activities.

In future articles we will cover the legalities of asking members of the public to invest and how that differs from asking your parent, grandparent or business associate to invest.

You already know what your business is, what are its assets and liabilities and who owns it. You may be able to define your business in a simple statement like "WE MAKE WIDGETS" or "WE EXPLORE FOR MINERALS". But a simple business proposition is affected by a broad spectrum of legal issues as illustrated by the list below. You can do your own preliminary diagnosis using this list before you consult with legal counsel.

- Intellectual property law – did you invent the widget and is it covered/protected by patent, trademark and copyright laws?
- Contract law – are you licensed to manufacture or distribute it?
- Business Registration – are you required to be authorized or licensed by any governmental authority to manufacture, distribute or sell the product?
- Taxation law – how do you calculate net revenue and tax payable? Been audited lately? Ever?
- Financial Reporting – are your financial records in order and in shape to be audited for the past three financial years by an auditor certified by the Canadian Public Accountability Board?
- Ownership – if the business is owned by a corporation, how was this business rolled into the corporation? Do you have partners and how is the relationship recorded? Any disputes as to ownership?

"The purpose of Law Matters is to highlight areas of law and regulation that are relevant to public companies or those going public. "

## A Legal Checkup on Your Business Cont'd

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"If you have been focused, like many business people, on developing your business while minimizing professional costs, Law Matters will provide you with an overview of some of the important legal factors that your business must address."

- Corporate law – where, when and how did your company come into existence? Was it properly organized and is it up to date in its government filings? Does it have a properly constituted board? Is it up to date with its tax filings? Is it serviced regularly by an accountant and lawyer?
- Securities law – who owns the Company? How many shareholders? How did you raise money?
- Employment law – how many employees do you have? Are you properly deducting at source? Are you up to date in all of your required filings with taxation and safety authorities?
- Environmental law – do you deal in toxic goods and are you in compliance with all environment regulations?
- Insurance law – do you carry appropriate insurance for business risk and have you had an insurance audit done on your business?
- Litigation – what are your liabilities – not just balance sheet liabilities, but any litigation risk or penalty associated with a deficiency in any of the above-mentioned items? Is any litigation pending or threatened?
- Real property – do you own land in the conduct of your business? Is it owned outright or encumbered?
- Security law – do you borrow or lend money and are your assets properly secured?
- Mining law – do you have the right to drill, explore and extract minerals from the property where you are proposing to conduct your mining operations?
- Contract law – how good are your relations with your business partners, be they joint venturers, co-investors or lenders?
- Conflict of laws – do you have a supplier or customer from out of province/state that tells you his interpretation of the law differs from the interpretation of law your local lawyer brings to the table?

Any of the above items may preclude you from advancing to the public markets if any represent a material risk to the success of your business. Most items cannot be fixed at the last minute and the more problematic the more likely your business plans will be stopped dead in their tracks.

No one likes a story about why you are almost ready. Talk to a lawyer about all of these items. Get a legal checkup. Because if your legal house is not in order when you reach out to the capital markets, you may never get a second chance to make a first impression.

*Robert Spiegel is a partner at Stikeman Keeley Spiegel Pasternack LLP (Toronto). Mr. Spiegel specializes in securities financing, mergers and acquisitions and complex corporate reorganizations. Mr. Spiegel also specializes in stock exchange listing, compliance and regulation, and provides advisory services in connection with issuer and broker compliance. He was formerly in house counsel at the TSX. You can reach Mr. Spiegel at [spiegel@stikeman.to](mailto:spiegel@stikeman.to)*

# Pure Trading

February was an important month in the ongoing evolution of the Canadian equity markets towards a mature, competitive, multi-market structure:

- Pure Trading introduced trading services for TSX-V-listed securities on February 20 (with 100 names) and extended the service on February 27 to include the full list of TSX-V stocks. Pure Trading now has more than 4100 issues posted for trading.
- As part of an on-going effort to address issues raised by the trading community, Pure Trading introduced new pricing at the end of the month for “sub-dollar” stocks:

## Stocks trading for less than \$1.00

Fees to Take Liquidity \$0.0026

Liquidity Provider Rebate

Monthly passive volume:  $X \leq 60\text{MM}$  shares \$0.0018

Monthly passive volume:  $X > 60\text{MM}$  shares \$0.0022

## Stocks trading for \$1.00 and above

Fees to Take Liquidity \$0.0037

Liquidity Provider Rebate

Monthly passive volume:  $X \leq 15\text{MM}$  shares \$0.0027

Monthly passive volume:  $X > 15\text{MM}$  shares \$0.0032

- We are continuing to work with the community on pricing for the TSX-V stocks, the vast majority of which trade for less than a dollar. The goal is to achieve a sustainable business model for the trading of these stocks that encourages liquidity provision while delivering cost savings to the dealer and investor community seeking liquidity in these names. We expect to be back to the industry shortly with the results of our consultation and analysis.
- We are also working with the industry on the creation of consolidated information services that meet the needs of traders and investors, as they navigate the options presented by the different markets operated in Canada. We expect that March and April will bring major progress in this regard.

For the month, Pure Trading saw its first decline in shares traded since last August. With the short month, and a statutory holiday to boot, 250 million shares were traded over the system on the month. With the bank-owned platform ramping up, market share was also down slightly from January, coming in at 2.44%. On a positive note, new liquidity provision programmes were instituted over the course of the month, resulting in a dramatic increase (approximately 3-fold) in the number of orders projected by Pure Trading on a daily basis. These new programmes are changing the mix of stocks traded on the platform: by the end of the month the mix between sub-dollar and above a dollar stocks trading was trending back towards the national average. Over the course of the next few weeks, we will be introducing some technical changes to our internal network that should see improved latencies for data feed customers. Although we will be seeking feedback from our part-

*Cont. on page 9*

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## Pure Trading Cont'd

For the month, the top 20 traded securities on Pure Trading were:

Name	Stock	Volume	MK Share
OILEXCO INCORPORATED	OIL	21,815,500	31.93%
REDCORP VENTURES J	RDV	16,772,000	8.67%
EASTERN PLATINUM LIMITED	ELR	12,704,004	7.99%
BOMBARDIER INC. CL B, SV	BBD.B	11,139,200	3.15%
HIGH RIVER GOLD MINES LTD.	HRG	8,917,500	22.40%
CASPIAN ENERGY INC.	CEK	6,459,000	62.35%
STRATAGOLD CORPORATION	SGV	6,370,000	22.95%
BLUE NOTE MINING INC	BN	5,901,000	11.90%
URANIUM ONE INC.	UUU	5,847,100	3.65%
YUKON-NEVADA GOLD CORP.	YNG	5,260,000	19.32%
BREAKWATER RESOURCES LTD.	BWR	4,514,000	22.89%
RED BACK MINING INC.	RBI	4,058,710	8.06%
TECK COMINCO LTD. CL B SV	TCK.B	4,039,600	2.18%
NOVA CHEMICALS CORPORATION	NCX	3,958,300	2.77%
SILVER WHEATON CORP.	SLW	3,763,000	5.21%
HORIZONS BETAPRO NYMEX CRUDE OIL	HOU	3,726,100	0.71%
LUNDIN MINING CORPORATION	LUN	3,250,901	5.07%
NORTEL NETWORKS CORPORATION	NT	3,215,500	6.59%
TIOMIN RES J	TIO	3,141,000	18.31%
EQUINOX MINERALS LIMITED	EQN	3,072,000	3.00%

Finally, we welcome BBS Securities Inc. as our newest participating dealer. Look for BBS on the tape under broker number 28.

## Events

### April 4<sup>th</sup> and 5<sup>th</sup>

Join us at the Telus Convention Centre at 120 Ninth Avenue, Calgary, AB for the Calgary Resource and Clean Energy Investment Conference. For more information visit [www.cambridgehouse.ca](http://www.cambridgehouse.ca)

**Conference is FREE if you Pre-REGISTER NOW (\$25 door charge if you are not registered)**

### April 21<sup>st</sup> – 24<sup>th</sup>.

CNSX will be at the Ramada Inn, Downtown Calgary, 708- 8th Avenue SW for the Calgary MEG annual mining conference. For more information and to register please go to [www.meg.calgary.ab.ca](http://www.meg.calgary.ab.ca)

### April 26<sup>th</sup> – 28<sup>th</sup>

Visit CNSX booth (# 54) at the Delta Regina and Casino Regina Show Lounge, Regina, SK for the 17<sup>th</sup> Williston Basin Petroleum Conference & Prospect Expo -For more information on this event visit [www.wbpc.ca](http://www.wbpc.ca)

**CNSX Dealers**

- ❖ Acker Finley Inc.
- ❖ Barclays Corporation Limited.
- ❖ BBS Securities Inc.
- ❖ Berkshire Securities
- ❖ Blackmont Capital Inc.
- ❖ Blackwatch Capital Corp.
- ❖ BMO Nesbitt Burns Inc.
- ❖ Bolder Investment Partners Ltd.
- ❖ Brant Securities Limited
- ❖ Brockhouse & Cooper
- ❖ Byron Securities Limited
- ❖ Caldwell Securities Ltd.
- ❖ CIBC World Markets Inc.
- ❖ Canaccord Capital Corporation
- ❖ Citigroup Global Markets Canada Inc.
- ❖ Clarus Securities
- ❖ Commission Direct Inc.
- ❖ Credit Suisse Securities
- ❖ D&D Securities Company
- ❖ Desjardins Securities
- ❖ Dundee Securities Corporation
- ❖ e3m Investments Inc.
- ❖ E\*Trade Canada Securities Corporation
- ❖ Fimat Canada Inc.
- ❖ First Energy Capital Corp.
- ❖ Gateway Securities Inc.
- ❖ Genuity Capital Markets
- ❖ Global Securities Corporation
- ❖ GMP Securities LP
- ❖ Goldman Sachs Canada Inc.
- ❖ Haywood Securities Inc.
- ❖ HSBC Securities (Canada) Inc.
- ❖ ITG Canada Corp.
- ❖ Independent Trading Group
- ❖ Infinium Capital Corp.
- ❖ Instinet Canada Ltd.
- ❖ Integral Wealth Securities Limited
- ❖ Interactive Brokers Canada Inc.
- ❖ Jitney Group Inc.
- ❖ Jones, Gable & Company Limited
- ❖ Leede Financial Markets Inc.
- ❖ Loewen Ondaatje McCutcheon Ltd.
- ❖ MF Global Canada Co.
- ❖ MGI Securities Inc
- ❖ MacDougall, MacDougall & MacTier Inc.
- ❖ Merrill Lynch Canada Inc.
- ❖ Morgan Stanley Canada Ltd.
- ❖ National Bank Financial
- ❖ Norstar Securities International Inc.
- ❖ Northern Securities Inc.
- ❖ Octagon Capital Corporation
- ❖ Odlum Brown Limited
- ❖ Orion Financial Corp.
- ❖ PI Financial Corp.
- ❖ Paradigm Capital Inc.
- ❖ Penson Financial Services Canada
- ❖ Peters & Co. Limited
- ❖ Pictet Canada LP
- ❖ Pollitt & Co. Inc.
- ❖ Qtrade Securities Inc.
- ❖ Questrade Inc.
- ❖ Raymond James Ltd.
- ❖ RBC Dominion Securities Inc.
- ❖ Research Capital Corporation
- ❖ Salman Partners Inc.
- ❖ Sandfire Securities Inc.
- ❖ Scotia Capital Inc.
- ❖ State Street Global Markets Canada Inc.
- ❖ Cormark Securities Inc.
- ❖ TD Securities Inc.
- ❖ Toll Cross Securities Inc.
- ❖ Tristone Capital
- ❖ UBS Securities Canada Inc.
- ❖ Union Securities Ltd.
- ❖ W.D. Latimer Co. Limited
- ❖ Wellington West Capital Markets Inc.
- ❖ Westwind Partners Inc.
- ❖ Wolverton Securities Ltd.